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Theoretical Solid State Physics and Statistical Mechanics Group I

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Research Activities

(I) HIGH-ENERGY SPECTROSCOPY IN f AND d ELECTRON SYSTEMS

a. Many-Body Effects in Core-Level Spectroscopy of Rare-Earth Compounds (A. Kotani, K. Okada, T. Ikeda and T. Yamazaki)

Core-level photoemission and photoabsorption spectra for various rare-earth compounds, especially for Ce and La compounds, both in metallic and insulating forms are analyzed by using the impurity Anderson model.^{1,8,18,20} Important information on $4f$ electron correlation, metallic mixed valency and insulating covalency are obtained from the analysis of $3d$ photoemission spectra.^{1,5,8} Spectra of $3p$ core-level are also analyzed.¹⁴ Essential role of the Coulomb interaction between a $4f$ electron and a photo-excited $5d$ electron is shown in the analysis of $2p$ photo-absorption.^{5,9,12} Valence photoemission, inverse photoemission and resonant photoemission are also studied.^{9,10}

b. Multiplet Structures in Core-Level Spectroscopy (A. Kotani, K. Okada and H. Ogasawara)

Multiplet structures in photoabsorption and photoemission of $3d$ and $4d$ core electrons in rare earth compounds are studied by incorporating the atomic multiplet coupling described by the Slater integrals and the spin-orbit interaction in the impurity Anderson model. It is shown that the interplay between the atomic multiplet coupling and the solid state hybridization plays an essential role in determining the spectral shape of mixed-valence materials.^{2,3,13,22}

c. X-ray Emission Spectra in Insulating Rare-Earth Compounds (A. Kotani, Y. Kayanuma, S. Tanaka and A. Mori)

X-ray emission spectra corresponding to the $4f \rightarrow 3d$ and $5p \rightarrow 3d$ transitions in insulating Ce and La compounds are calculated by applying the formalism of second order optical process to the impurity Anderson model with a filled valence band. It is shown that the X-ray emission reflects strongly the competition between the dynamical relaxation of

4f electron through the hybridization with the valence band and the lifetime relaxation of the 3d core hole.^{11,16,18,21)} The effect of post collision interaction (changeover from sudden to adiabatic approximation) on X-ray emission spectra as well as on Auger electron spectra is investigated theoretically with simplified models.

d. High-Energy Spectroscopy in High T_c Superconductors

(K. Okada, A. Kotani, H. Katayama-Yoshida, S. Tanaka and Y. Seino)

The filled band Anderson model approach, by which we have already succeeded in describing rare earth compounds, is applied for the analysis of high energy spectroscopy in high T_c superconducting materials, such as $(\text{La}_{1-x}\text{Sr}_x)_2\text{CuO}_4$ and $\text{YBa}_2\text{Cu}_3\text{O}_{7-\delta}$. The model is improved by introducing five filled valence bands to take account of the symmetry of the Cu 3d orbitals. From the analysis of the Cu 2p photoemission, Cu 3p resonant photoemission, Cu L_3 photoabsorption and X-ray emission spectra, strong electron correlation between Cu 3d electrons and strongly mixed-valent nature of the ground state are pointed out.^{14,15,23)} Paying particular attention to the observed polarization dependence of L_3 photoabsorption,^{3,4,6)} the symmetry of the orbital state of doped holes is discussed in detail.^{15,19)}

(II) DYNAMICAL PROCESSES IN EXCITED STATES

(Y. Kayanuma)

a. Nonlinear Optical Processes in Condensed Matter

A dynamical theory of coherent nonlinear optical processes is worked out for localized electron-phonon systems. Theoretical predictions for stationary as well as transient responses in the strongly coupled system are presented.²⁴⁾

b. Zero-Dimensional Excitons

The quantum size effect of the microcrystals of semiconductor is studied by a model of spherical quantum well. The change of the optical responses is clarified as a function of the ratio of the well radius to the effective Bohr radius of the exciton.²⁵⁾

(III) MONTE CARLO STUDY OF SPIN SYSTEMS

(Y. Okabe)

a. Quantum Monte Carlo Simulation

The discovery of the high- T_c superconductors has brought about a renewed interest in two-dimensional quantum spin systems. We systematically study the spin 1/2 quantum XXZ model on the square lattice using the quantum Monte Carlo simulation²⁶⁻²⁸⁾ and the exact diagonalization study of the 4×4 system.²⁹⁾ A special attention is paid to the symmetry breaking in the ground state of the quantum antiferromagnetic spin systems.

b. Ising Spins on Quasicrystals

Using the Monte Carlo simulation, we study the Ising model on quasicrystals. We deal with the two-dimensional Penrose lattice and the three-dimensional icosahedral lattice. The antiferromagnetic spins on the frustrated quasi-lattices are carefully studied.³⁰⁻³²⁾

c. Growth Process of Order Parameter

We study the temporal evolution of the probability distribution function of the order parameter in the ordering process by the Monte Carlo simulation. The dynamical finite-size scaling is shown to hold for the evolution of the probability distribution function. We propose a new method for calculating the effective potential which governs the growth process.³³⁾

(IV) ELECTRONIC STRUCTURE OF DEEP IMPURITY STATE IN SEMICONDUCTORS

(*H. Katayama-Yoshida*)

a. Transition Metal Impurities in II-VI Semiconductors

A unified picture of the electronic structure of Cr impurities in ZnS is presented on the basis of first-principles spin-polarized Green's function calculations. The results obtained on physical properties (donor and acceptor ionization energies, hyperfine coupling constants, g values and Jahn-Teller distortion) are in good agreement with the experimental data, and further clarify the interplay between ionicity and covalency in II-VI compound semiconductors.

b. Mechanism of Hydrogen Passivation in Semiconductors

The electronic structure of hydrogen (H) and boron (B) acceptor complexes in silicon in order to understand the mechanism of hydrogen passivation in silicon. The atomic configuration and electronic structure of H-B complexes are determined using a norm-conserving pseudopotential and supercell method with calculating the Hellmann-Feynman force. Two possible mechanisms for neutralizing the shallow acceptor levels in p-type silicon are considered; (I) H atom is located at the bond center of a Si-B covalent bond and H makes a strong covalent bond with a Si atom, terminating the dangling bond of Si, so that B has three-coordinated covalent bonds with Si atoms, (II) the H atom is located at interstitial antibonding site of a Si-B covalent bond and H becomes H^+ and B becomes B^- due to charge transfer. We find that H is stable at the bond center of a Si-B covalent bond with the large relaxation is included.

(V) MECHANISM OF HIGH- T_c OXIDE SUPERCONDUCTORS

(H. Katayama-Yoshida and Y. Okabe)

a. Isotope Effect in High- T_c Oxide Superconductors

An oxygen isotope effect is observed in Bi-Sr-Ca-Cu-O superconductors when ^{18}O is substituted for ^{16}O . The superconducting transition temperature T_c is lowered by about 0.3-0.4 K. This result suggests a measurable contribution to the superconductivity from phonons. The copper isotope effect is also studied for Y-Ba-Cu-O.

b. NMR Study of ^{17}O of High- T_c Superconductors

Nuclear-spin lattice relaxation rate and Knight shift have been studied by NMR to elucidate the superconducting states and the origin of the pairing mechanism.

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Master Thesis (March 1989)

M1) *Theory of Photoemission Spectra in Rare Earth Oxides*, Tatsuroh Ikeda.